

Notice of meeting of

Audit & Governance Committee

To:	Councillors B Watson (Chair), Brooks (Vice-Chair), Firth, Hyman, Scott, Vassie and Gunnell
Date:	Monday, 14 February 2011
Time:	5.30 pm
Venue:	The Guildhall, York

AGENDA

Note:

As agreed at previous meetings, the Chief Internal Auditor and District Auditor (Audit Commission) will be present in the meeting room from 5:00 pm to provide a private briefing for Members, if required.

1. **Declarations of Interest**

At this point Members are asked to declare any personal or prejudicial interests they may have in the business on this agenda.

2. **Exclusion of Press and Public**

To consider the exclusion of the press and public from the meeting during consideration of the following:

Annex C to Agenda Item 7 on the grounds that it contains information relating to negotiations in connection with a labour relations matter arising between the authority and employees of the authority. Also annex 1 to item 13. This information is classed as exempt under paragraph 4 & 7 of Schedule 12A to Section 100A of

the Local Government Act 1972 (as revised by The Local Government (Access to Information) (Variation) Order 2006).

3. Minutes (Pages 3 - 12)

To approve and sign the minutes of the meeting of the Audit & Governance Committee held on 6 December 2010.

4. Public Participation

At this point in the meeting members of the public who have registered their wish to speak regarding an item on the agenda or an issue within the Committee's remit can do so. The deadline for registering is **5:00 pm on Friday 11th February 2011**.

5. Audit and Governance Committee Forward Plan (Pages 13 - 18)

This paper presents the future plan of reports expected to be presented to the Committee during the forthcoming year to December 2011.

6. Certification of Claims and returns 2009/10 - Audit Commission (Pages 19 - 36)

The purpose of this report is to allow consideration of the Certificate of Claims and Returns Report 2009/10 produced by the Audit Commission (Annex A). The report reviews the Council's arrangements for the preparation and administration of grant claims within the Council.

7. Audit Commission Opinion Plan - 2010-11 (Pages 37 - 60)

This report presents the Audit Commission's Opinion Audit Plan for 2010/11 which is attached as the annex to the report.

8. Key Corporate Risk Quarter 3 Monitor (Pages 61 - 90)

The purpose of this paper is to present to Audit and Governance Committee (A&G) the current position of the risks associated with the Key Corporate Risks (KCRs) as at the end of December 2010.

9. Update on Transparency Reporting (Pages 91 - 96)

The purpose of this report is to update members on progress to meet the government requirement to publish on line details of salaries and spending by 31st January 2011.

10. Treasury Management Quarter 3 Monitor (Pages 97 - 118)

Audit & Governance Committee will be responsible for ensuring effective scrutiny of the treasury management strategy and policies. Attached at Appendix A of the report is the Treasury Management Monitor 3 and Prudential Indicators 10/11 report which monitors the treasury management activity for the first nine months of the financial year.

11. Treasury Management Strategy (Pages 119 - 158)

This covering report aims to assist Audit & Governance members in the scrutiny of the Treasury Management Strategy Statement and Prudential Indicators for 2011/12 to 2015/16 by providing keys areas and points to note.

12. Internal Audit Plan Consultation (Pages 159 - 166)

The purpose of the report is to seek members' views on the priorities for internal audit for 2011/12, to inform the preparation of the annual audit plan.

13. Audit and Fraud Risk Assessment (Pages 167 - 180)

The purpose of this report is to inform members about potential fraud risks that the council is exposed to, and proposed counter fraud activity to address those risks. The report also details the outcome of a review of the council's counter fraud policies.

14. Transition to New Style Leader and Cabinet Model (Pages 181 - 190)

This report seeks the Committee's comments on proposed constitutional changes which arise from the Council being required to implement a new form of Executive in May. The report also

identifies a number of minor proposed amendments which have been identified.

15. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

Name: Laura Bootland

Contact details:

- Telephone – (01904) 552062
- E-mail – laura.bootland@york.gov.uk

For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.